

Audit Committee, 4 September 2018

Matters Arising

Executive summary and recommendations

Attached is an actions list as agreed at the last public meeting of the Audit Committee.

12 June 2018

	<b>Action point (and location in the minutes)</b>	<b>For the attention of/ Comment on progress</b>
1	<p><b>Item 7.ii. Internal Audit – Key Financial Controls (report ref: AUD 18/18)</b></p> <p>The Committee requested that the Director of IT and Resources review the process to ensure that budget setting for 2019-18 is more efficient. The proposed process for 2019-18 should then be presented to the Committee and Council for agreement. (7.ii.7)</p>	<p>Director of IT and Resources</p> <p>On September 2018 agenda.</p>
2	<p><b>Item 7.v. Internal Audit progress report 2018-19 (report ref: AUD 21/18)</b></p> <p>The Committee agreed that the internal audit progress report submitted to the Committee’s September 2018 meeting should include a high level scope for a review of the 186 Kennington Park Road project delivery, as well as a list of alternative areas for review.(7.v.6)</p>	<p>Internal Auditors</p> <p>September 2018</p>
3	<p>The Committee agreed that the scope of the PSA improvement plan review should be circulated to members along with the agreed approach to delivering earlier assurance.(7.v.9)</p>	<p>The scope for this review was issued for management comment at the end of August. The final scope will be circulated when agreed.</p>
4	<p><b>Item 12.i. Social Work Risk Register (report ref: AUD 26/18)</b></p> <p>The Committee agreed that the mitigations for risks s.8.1 and s.8.4 required more detail given the risks’ significance. (12.i.5)</p>	<p>Executive</p> <p>Complete, incorporated into SW RR.</p>
5	<p>The Committee agreed that a risk of inadequate focus on business as usual performance and the regulation of the HCPC’s other professions should be included a risk on the register.(12.i.7)</p>	

**Decision**

The Committee is requested to note the document. No decision is required.

**Background information**

Please refer to individual papers and minutes for the background to decisions.

**Resource implications**

None

**Financial implications**

None.

**Appendices**

None.

**Date of paper**

28 August 2018