
Audit Committee

The 74th meeting of the Audit Committee will take place as follows:

Date: Wednesday 10 June 2020

Time: 1pm

Venue: Videoconference

Members: Sue Gallone (Chair)
Eileen Mullan
Julie Parker
Gavin Scott

Enquiries: Ewan Shears, Secretary to the Committee
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Agenda

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| 1. | Chairs welcome and introduction | verbal |
| 2. | Apologies for absence | verbal |
| 3. | Approval of agenda | verbal |
| 4. | Declaration of members' interests | verbal |
| 5. | Minutes of the Audit Committee meeting of 4 March 2020
For approval
Ewan Shears – Secretary to the Committee | enclosure 1
AUD 20/20 |
| 6. | Matters arising
To note
Ewan Shears – Secretary to the Committee | enclosure 2
AUD 21/20 |

Papers for discussion/decision

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| 7. | Annual report financial section
For discussion
Tian Tian – Director of Finance | enclosure 3
AUD 22/20 |
| 8. | External Audit 2019-20 update
For discussion
Hays MacIntryre
NAO | verbal |
| 9. | Papers from the HCPC's Internal Auditors, BDO LLP as follows; | |
| I. | Recommendations follow up
For discussion | enclosure 4
AUD 23/20 |
| II. | Annual Report
For discussion | enclosure 5
AUD 24/20 |
| V. | Internal Audit Plan 2020-21 progress update
To note | enclosure 6
AUD 25/20 |
| 10. | Internal Assurance Report
• Assurance map 2020
For discussion
Claire Amor, Head of Governance
Roy Dunn, Chief Information Security and Risk Officer | enclosure 7
AUD 26/20 |
| 11. | Annual Information Governance Report 2019-20
For discussion
Maxine Noel, Information Governance Manager | enclosure 8
AUD 27/20 |

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| 12. | Strategic Risk Register
For discussion
Roy Dunn, Chief Information Security and Risk Officer | enclosure 9
AUD 28/20 |
| 14. | HPCPC Committee review 2020
For discussion
Sue Gallone, Chair | enclosure 10
AUD 29/20 |

To note

- | | | |
|-----|--|---------------------------|
| 15. | Internal audit recommendations tracker
Ewan Shears, Secretary to the Committee | enclosure 11
AUD 30/20 |
| 16. | Committee forward work plan
Ewan Shears, Secretary to the Committee | enclosure 12
AUD 31/20 |
| 17. | Any other business
Previously notified and agreed by the Chair | verbal |
| 18. | Date & time of next meeting:
Wednesday 9 September 2020, 1pm | verbal |

Private agenda

Resolution

The Committee is invited to adopt one or more of the following:

'The Committee hereby resolves that the remainder of the meeting shall be held in private, because the matters being discussed relate to one or more of the following;

- (a) information relating to a registrant, former registrant or applicant for registration;
- (b) information relating to an employee or officer holder, former employee or applicant for any post or office;
- (c) the terms of, or expenditure under, a tender or contract for the purchase or supply of goods or services or the acquisition or disposal of property;
- (d) negotiations or consultation concerning labour relations between the Council and its employees;
- (e) any issue relating to legal proceedings which are being contemplated or instituted by or against the Committee or the Council;
- (f) action being taken to prevent or detect crime or to prosecute offenders;
- (g) the source of information given to the Committee in confidence; or
- (h) any other matter which, in the opinion of the Chair, is confidential or the public disclosure of which would prejudice the effective discharge of the Committee's or Council's functions.'

Item	Reason for Exclusion
19	H
20	C

19. **Private minutes of the Audit Committee meeting of 4 March 2020** enclosure 13
 For approval AUD 32/20
 Ewan Shears, Secretary to the Committee
20. **Internal Auditor Performance Review** enclosure 14
 For discussion AUD 33/20
 Claire Amor, Head of Governance
21. **Any other business** verbal
 Previously notified and agreed by the Chair