

Audit and Risk Assurance Committee

The 81st meeting of the Audit and Risk Assurance Committee will take place as follows:

Date: Wednesday 10 November 2021

Time: 1pm

Venue: Videoconference

Members: Sue Gallone (Chair)
Julie Parker
David Stirling
Kathryn Foreman
Pameleta Ricketts

Enquiries: Ewan Shears, Secretary to the Committee
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Agenda

1. **Chairs welcome and introduction** verbal
2. **Apologies for absence** verbal
3. **Approval of agenda** verbal
4. **Declaration of members' interests** verbal
5. **Minutes of the Audit Committee meeting of 16 September 2021** enclosure 1
For approval
Ewan Shears – Secretary to the Committee ARAC 41/21
6. **Private minutes of the Audit Committee meeting of 16 September 2021** enclosure 2
For approval
Ewan Shears – Secretary to the Committee ARAC 42/21
7. **Matters arising** enclosure 3
To note
Ewan Shears – Secretary to the Committee ARAC 43/21

Papers for discussion/decision

8. **Strategic Risk Register** enclosure 4
For discussion
Claire Amor, Head of Governance
Andrew Smith, Executive Director of Regulation ARAC 44/21
9. **Annual report and Accounts 2020-2021 update** verbal
For discussion
Gordon Dixon, Finance Consultant
10. **External Audit 2020-2021 update** verbal
For discussion
Haysmacintyre
NAO

11. Papers from the HCPC's Internal Auditors, BDO LLP as follows;
- I. **Internal Audit Plan 2021-22 progress update** enclosure 5
To note ARAC 45/21
12. **Internal Assurance Report** enclosure 6
• *Including Annual Near Miss Summary Report* ARAC 46/21
For discussion
Claire Amor, Head of Governance
Roy Dunn. CIRSO
Anna Raftery, QA Lead
- To note
13. **Internal audit recommendations tracker** enclosure 7
Ewan Shears, Secretary to the Committee ARAC 47/21
14. **Committee forward work plan** enclosure 8
Ewan Shears, Secretary to the Committee ARAC 48/21
15. **Any other business** verbal
Previously notified and agreed by the Chair
16. **Date & time of next meeting:** verbal
(1 December 2021. 1pm)
8 March 2022. 1pm