

Public Summary: Unified Assurance Framework 2022-23

Executive Summary

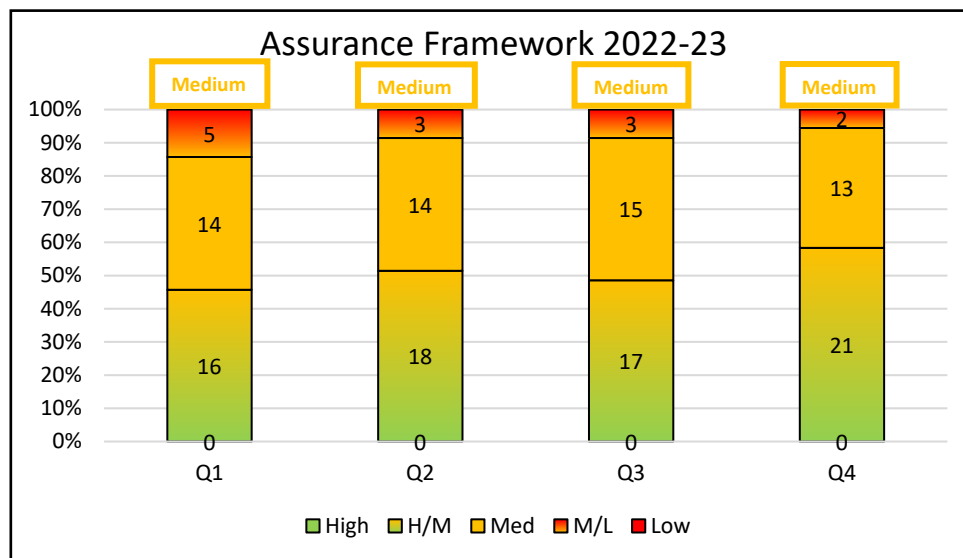
Public overview of the Unified Assurance Framework 2022-23. The full paper, including a breakdown by function and details of the three lines of assurance will be reported to the private session.

Previous consideration	Full Unified Assurance Framework paper is reported to ARAC in private session each quarter.
Decision	The Committee is asked to discuss the report.
Next steps	Full quarterly papers will continue to go to the Committee's private session
Strategic priority	Strategic aim 1 – Continuously improve and innovate Strategic aim 5 – Build a resilient, healthy, capable and sustainable organisation.
Financial and resource implications	None as a result of this paper.
EDI impact	UAF includes assessment of both strategic and corporate EDI functions
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1. 2022-23 Unified Assurance Framework

1.1. This report aims to give an annual account of the assurance activity taking place within the HCPC. Each function is reviewed following quarterly Risk and Assurance meetings to reflect the most current and accurate assurance rating.

1.2. At the end of the first full year of the Unified Assurance Framework we have a much better understanding of the risk and assurance landscape of the HCPC. We can also see a picture of the direction of change in the organisation's assurance level.



1.3. We have seen improvement in the number of functions with a **Medium/Low** assurance rating. While there is still work to do in these areas, however the impact of work done over the year has mitigated the risks to the point the concerns are no longer critical. Two areas remain of significant concern, however with the delivery of planned corporate and team workplans we expect to see improvements in these areas.

1.4. Of the functions that still have **Medium** assurance, a number have not seen any change in assurance rating over the last year. Though many of these areas have made significant improvements, the assurance rating may not have changed for a few reasons, such as:

- Impact not yet realised
- Setbacks or delays to delivery of improvements
- Outside factors such as loss of resource in key areas

1.5. These will be of specific focus for the Assurance Framework for the next year and will be supported plans such as the review the QMS/Guidance library, and robust business succession planning.

1.6. At the end of 2022-23 the overall assurance rating is **Medium**.